

TRANSMITTAL FORM

Attorney Docket No.
SVL920030053US1/2864PIn re the application: **Robert VAN der Linden and Brian S. VICKERY**Serial No: **10/648,760**Group Art Unit: **To Be Assigned**Filed: **August 25, 2003**Examiner: **To Be Assigned**For: **Method and System for Storing Structured Documents in Their Native Format in a Database**

ENCLOSURES (check all that apply)

<input type="checkbox"/>	Amendment/Reply	<input type="checkbox"/>	Assignment and Recordation Cover Sheet	<input type="checkbox"/>	After Allowance Communication to Group
<input type="checkbox"/>	After Final	<input type="checkbox"/>	Part B-Issue Fee Transmittal	<input type="checkbox"/>	Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/>	Information disclosure statement	<input type="checkbox"/>	Letter to Draftsman	<input type="checkbox"/>	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/>	Form 1449	<input type="checkbox"/>	Drawings	<input type="checkbox"/>	Status Letter
<input type="checkbox"/>	(2) Copies of References	<input type="checkbox"/>	Petition	<input checked="" type="checkbox"/>	Postcard
<input type="checkbox"/>	Extension of Time Request *	<input type="checkbox"/>	Fee Address Indication Form	<input type="checkbox"/>	Other Enclosure(s) (please identify below):
<input type="checkbox"/>	Express Abandonment	<input type="checkbox"/>	Terminal Disclaimer		
<input type="checkbox"/>	Certified Copy of Priority Doc	<input type="checkbox"/>	Power of Attorney and Revocation of Prior Powers		
<input type="checkbox"/>	Response to Incomplete Appln	<input type="checkbox"/>	Change of Correspondence Address		
<input type="checkbox"/>	Response to Missing Parts	*Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the Commissioner to extend the time for response for xxxxx month(s), from to .			
<input type="checkbox"/>	Executed Declaration by Inventor(s)				

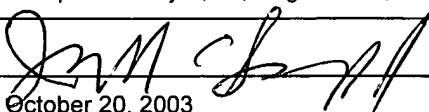
CLAIMS

FOR	Claims Remaining After Amendment	Highest # of Claims Previously Paid For	Extra Claims	RATE	FEE
Total Claims	36	36	0	\$18.00	\$ 0.00
Independent Claims	3	3	0	\$86.00	\$ 0.00
				Total Fees	\$ 0.00

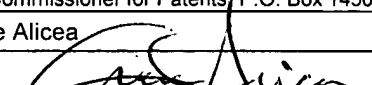
METHOD OF PAYMENT

<input type="checkbox"/>	Check no. _____ in the amount of \$ _____ is enclosed for payment of fees.
<input type="checkbox"/>	Charge \$ _____ to Deposit Account No. _____ (Account Holder Name) for payment of fees.
<input checked="" type="checkbox"/>	Charge any additional fees or credit any overpayment to Deposit Account No. 09-0460 (IBM Corporation).

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Attorney Name	Joseph A. Sawyer, Jr., Reg. No. 30,801
Signature	
Date	October 20, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: October 20, 2003	
Type or printed name	Grace Alicea
Signature	



CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **October 20, 2003**.

Grace Micea

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: October 20, 2003

Robbert C. VAN der LINDEN and Brian S. VICKERY

Serial No.: 10/648,760

Group Art Unit: To Be Assigned

Filed: August 25, 2003

Examiner: To Be Assigned

For: METHOD AND SYSTEM FOR STORING STRUCTURED DOCUMENTS IN
THEIR NATIVE FORMAT IN A DATABASE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record. This Information Disclosure Statement is being submitted:

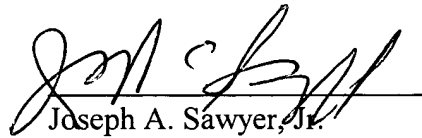
- ☐ With copies.
- ☐ Without copies. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial No. **, filed **. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609 (A)(2).
- ☒ This Information Disclosure Statement is being submitted with only copies of non-U.S. patent publication(s) and non-patent literature. This protocol conforms with 37 CFR 1.98(a)(2)(i), which waives the requirement for submitting a copy of each cited U.S. Patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003.

- ☒ Within three months of the application filing date, or before mailing of a first Office Action on the merits.
 - ☐ After receipt of a first Office Action on the merits, but before the mailing date of a Final Office Action under §1.113, or a Notice of Allowance §1.311. Accompanied by one of:
 - ☐ Certification under 37 CFR §1.97(e); or
 - ☐ The fee set forth in §1.17(p)
 - ☐ After mailing of a final Office Action or Notice of Allowance, but on or before payment of the issue fee. Accompanied by:
 - ☐ Certification under 37 CFR §1.97(e); and
 - ☐ The fee set forth in §1.17(p)
 - ☐ A Certification under 37 C.F.R. §1.97(e) is provided below:
 - ☐ I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- or-
- ☐ I hereby certify that no item of information was cited in a communication from a foreign patent office in a counterpart foreign application or, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

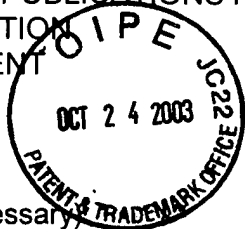
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein. If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below. The Commissioner is hereby authorized to charge any fees associated with this communication, or credit any overpayment, to Deposit Account No 09-0460 (IBM Corporation).

Respectfully submitted,
SAWYER LAW GROUP LLP

October 20, 2003
Date


Joseph A. Sawyer, Jr.
Attorney for Applicant(s)
Reg. No. 30,801
(650) 493-4540

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. SVL920030053US1/2864P	SERIAL NO. 10/648,760
	APPLICANT: Robbert C. VAN der LINDEN and Brian S. VICKERY	
	FILING DATE: August 25, 2003	GROUP: To Be Assigned



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	6 4 8 7 5 6 6	11/26/02	Sundaresan	707	513	
	6 4 8 0 8 6 5	11/12/02	Lee et al.	707	523	
	6 5 0 2 1 0 1	12/31/02	Verprauskus et al.	707	101	
	6 5 1 9 5 9 7	02/11/03	Cheng et al.	707	10	
	6 4 2 1 6 5 6	07/16/02	Cheng et al.	707	2	
	6 3 6 6 9 3 4	04/02/02	Cheng et al.	707	513	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

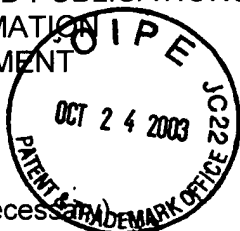
	"Using an XML Query Language to Publish Relational Data as XML," Dossier - ARC919990247, pp. 1-37.
	"Annotated Automation Encoding of XML Schema for High Performance Schema Validation," Dossier - SVL920020102, pp. 1-61.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
		US 2002/0169788	11/14/02	Lee et al.	707	104.0	
		US 2002/0111965	08/15/02	Kutter	707	513	
		US 2002/0038319	03/28/02	Yahagi	707	513	
		US 2002/0112224	08/15/02	Cox	717	100	
		US 2002/0156811	10/24/02	Krupa	707	513	
		US 2002/0099715	07/25/02	Jahnke et al.	707	100	

FOREIGN PATENT DOCUMENTS

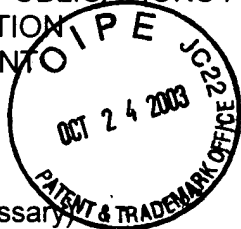
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER		DATE CONSIDERED	

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U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
		US 2003/0014397	01/16/03	Chau et al.	707	3	
		US 2002/0156772	10/24/02	Chau et al.	707	3	
		US 2002/0133484	09/19/02	Chau et al.	707	3	
		US 2002/0123993	09/05/02	Chau et al.	707	5	
		US 2002/0103829	08/01/02	Manning et al.	707	513	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER	DATE CONSIDERED	

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